



4379 Cleveland Road  
Wooster, Ohio 44691  
(330) 345-8635

Dear Client,

Here are the forms you will need for your appointment:

- When downloading the forms, print them all single sided - NOT DOUBLE SIDED.
- Please fill out the Client Information Sheet, Page 1 and Page 2, completely. Be sure to read each question carefully.
- Also, we need you to fill out the “Earned Income Due Diligence Questions for Drop Offs”- even if none of it applies to you, we still need you to sign the page so we can verify that you have read it.
- Read and sign the General Engagement Letter for Individual Tax Return Preparation
- On the Tax Credit Questionnaire, answer Yes, No, or N/A to all questions.
- I have also included information about our “Sleep-At-Night” IRS Protection Guarantee Program-please read this and determine if you want to take advantage of this program.
- Bring a copy of a current ID for taxpayer and spouse (if applicable)
- Bring a voided check if you are choosing to receive your refund by direct deposit

Please bring these completed forms back with your tax information. There are a couple additional forms that we will have you complete upon your arrival at the office, so please allow time for this when stopping in.

Thanks,

*Terry M. Sustar*

Terry M. Sustar, EA

# Please Print



Office Use Only	
Disclosures?	
YES	NO
Init: _____	

## 2023 TAX YEAR CLIENT INFORMATION

**I. PRIMARY TAXPAYER INFORMATION**

PRIMARY TAXPAYER NAME (Usually husband)  
(record name as shown on Social Security card):  
\_\_\_\_\_  
(First Name) (Middle) (Last)

Social Security #: \_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
Date of Birth: MM\_\_\_\_DD\_\_\_\_YEAR\_\_\_\_  
Occupation: \_\_\_\_\_  
Day Ph # (\_\_\_\_) \_\_\_\_\_  Work  Home  
Cell Phone # (\_\_\_\_) \_\_\_\_\_

Can we use texts to communicate with you?  Yes  No

Email Address: \_\_\_\_\_  
Your email address will never be sold or rented out. It is for our internal use only.

**II. SPOUSE INFORMATION**

SPOUSE NAME (Usually wife)  
(record name as shown on Social Security card):  
\_\_\_\_\_  
(First Name) (Middle) (Last)

Social Security #: \_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
Date of Birth: MM\_\_\_\_DD\_\_\_\_YEAR\_\_\_\_  
Occupation: \_\_\_\_\_  
Day Ph # (\_\_\_\_) \_\_\_\_\_  Work  Home  
Cell Phone # (\_\_\_\_) \_\_\_\_\_

Can we use texts to communicate with you?  Yes  No

Email Address: \_\_\_\_\_  
Your email address will never be sold or rented out. It is for our internal use only.

**III. STATUS** (circle one): Single / Head of Household (Provided Housing) / Married / Married Filing Separate / Widowed

**IV. ADDRESS**

STREET ADDRESS: \_\_\_\_\_  
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_  
COUNTY \_\_\_\_\_ SCHOOL DISTRICT \_\_\_\_\_ **DO YOU LIVE IN CITY LIMITS? (Circle One):** YES NO

**V. DEPENDENTS (Children & Others - Not Spouse)**

Please complete the following as applicable:

Name (as shown on SS card)	Social Security Number	Relationship to taxpayer	# Months lived in home during 2023	Date of Birth	Claiming for 2023? Y or N

→ **Did You, Your Spouse, & Your Dependents receive Health Insurance through the marketplace [www.HealthCare.Gov](http://www.HealthCare.Gov) (The Exchange) YES / NO**

→ **How do you want to receive your Refund:**  
 Fees Taken Out of Check (extra \$ fee) **OR**  Direct Deposit **Need voided check** **OR**  IRS Mail It To Me

**VI. SIGNATURE**

ALL INFORMATION I HAVE GIVEN IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE. I ACKNOWLEDGE THAT I HAVE SIGNED CONSENT TO USE AND CONSENT TO DISCLOSE FORMS AND THEY WILL REMAIN ON FILE. THEY ARE IN EFFECT UNTIL I NOTIFY YOU IN WRITING OR AM NO LONGER YOUR CLIENT. IF I CHOOSE NOT TO COMPLETE THE RETURN AFTER TAX INFORMATION HAS BEEN ENTERED INTO THE COMPUTER BY A TAX PREPARER, I UNDERSTAND THAT I WILL BE CHARGED A \$50.00 CONSULTATION FEE.

SIGNATURE: \_\_\_\_\_ Date \_\_\_\_\_

### Turn Form Over For More Information

**PLEASE READ AND ANSWER EVERY QUESTION**

**If you or your spouse at any time during 2023 had any of the following, please circle Yes or No - and inform your preparer:**

**Circle:      If yes, please provide:**

Are you on Medicare?..... YES NO

Can you be claimed as a dependent on someone else's tax return? ..... YES NO



Did you make any ROTH IRA (other than at work) contributions in 2023? YES NO

Do you or your spouse have any **FOREIGN BANK** ACCOUNTS? YES NO

Do you own any **Crypto** currency?..... YES NO

**Did you receive a 1095-A from the Healthcare Market Place? YES NO**

Did you purchase anything on the internet and **not** pay sales tax on the purchase? YES NO      Amount \$ \_\_\_\_\_

Pay college tuition expenses? ..... YES NO      All 1098-T's

Sell stock, mutual fund, or other securities? ..... YES NO      All 1099-B forms

Own your own business or were you self-employed? ..... YES NO      Income Statemnt & All 1099-Misc

Use a portion of your home exclusively for business? ..... YES NO      Total Sq ft of house and Sq ft of exclusive office space

Do you own rental property? ..... YES NO

Receive royalties? ..... YES NO      All 1099 forms

Sell your home? ..... YES NO      All 1099-S forms

Sell any other property (equipment, land, etc.)? ..... YES NO

Did you make estimated tax payments? ..... YES NO (Amounts & To Whom)

Mar 23 Fed \$ \_\_\_\_\_ St \_\_\_\_\_ ; June 23 Fed \$ \_\_\_\_\_ St \_\_\_\_\_ ; Oct 23 Fed \$ \_\_\_\_\_ St \_\_\_\_\_ ; Jan 24 Fed \$ \_\_\_\_\_ St \_\_\_\_\_

Have an interest in a partnership, S-corporation, estate or trust? ..... YES NO      All K-1 forms

Operate a farm? ..... YES NO

Receive installment payments on property sold? ..... YES NO

Have income as a minister? ..... YES NO

**Did you move into or out of any other city or School District in 2023? ..... YES/ NO**

**List all Moves:**

**Date Moved:** \_\_\_\_/\_\_\_\_/\_\_\_\_ **City/SD Name Moved From:** \_\_\_\_\_

**Did you move into or out of any other states in 2023? ..... YES/ NO**

**List All Moves:**

**Date Moved:** \_\_\_\_/\_\_\_\_/\_\_\_\_ **Name of State Moved From:** \_\_\_\_\_



Earned Income Due Diligence Questions for Drop Offs
PLEASE PRINT and check the appropriate boxes

The following questions pertain to your Self Employment status, Head of Household status, and qualifying children only (children who live with you and qualify you for Earned Income Credit) - documentation will need to be shown to the IRS in event you get audited. YOU AND YOUR SPOUSE MUST SIGN THE LAST PAGE.

Part I - Self Employment Income:



CAUTION: (If you are not self-employed, check this box, skip this section, and go to Part II)

- 1. How long have you owned your business?
2. Brief description of business
3. Where do you conduct business? (Wayne Co, Ohio, etc)
4. What Services do you perform?
5. How much do you charge for them?
6. Approximately how many clients do you have?
7. How often do you provide services for each client?
8. What types of items do you need to operate?
9. How often are those items replenished?
10. Do you travel for business? Yes No
11. How do you keep track of mileage? (Logs, calendars, etc)
12. When and where do you travel for business? (Various places, etc.)
13. Check the boxes of any documentation you have that can substantiate your business?
Business Card Business/Occupational license (if required)
Business Stationary Other tax returns (Sales tax, employment, etc)
Receipts or receipt book (with Co. header) Advertisements (newspaper, flyer, yellow pages, etc)

List any other documentation you can provide to substantiate your business.

- 14. Who maintains the business records? Self Spouse Other
15. Do you maintain separate banking accounts for personal and business transactions? Yes No

If "Yes", how do you keep track of income & expenses?

If "No", how do you differentiate between personal and business transactions and monetary assets?

## Part II – Filing Status – Head of Household



**CAUTION:** (If you are married filing joint check this box , skip this section, and go to Part III)

The IRS could require additional information/documentation if you are divorced, legally separated, or married and did not reside with your spouse the last 6 months of the year to determine if you qualify for the head of household filing status.

1. Marital status:
  - Never Married
  - Divorced, separated
  - Spouse deceased
  - Married but lived apart from spouse during the last 6 months of the year
  - Separation agreement
  
2. If you are divorced or legally separated, can you provide the IRS with any of the following documents?
  - Divorce decree
  - Separate maintenance agreement or separation agreement
  
3. If you are married but did not reside with your spouse for the last 6 months of the tax year, can you provide the IRS with any of the supporting documents verifying that your spouse did not live with you?
  - Not applicable
  - Lease agreement
  - Utility Bills
  - Letter from a clergy member
  - Letter from social services
  - Other supporting documentation

If so, what type of documentation? \_\_\_\_\_
  
4. Can you provide the IRS with receipts and bills substantiating the cost of maintaining more than half of the cost of the home? Documentation that the IRS requires to substantiate the cost of maintaining a home includes:


<input type="checkbox"/> Utility bills	<input type="checkbox"/> Rent receipts or mortgage interest statements
<input type="checkbox"/> Property tax bills	<input type="checkbox"/> Maintenance and repair bills
<input type="checkbox"/> Grocery receipts	<input type="checkbox"/> Other household bills
  
5. Did you receive any non-taxable support income?


<input type="checkbox"/> Family support	<input type="checkbox"/> Childcare assistance
<input type="checkbox"/> Food stamps	<input type="checkbox"/> Other _____
<input type="checkbox"/> Housing assistance	

## Part III – Relationship



**CAUTION: (If two or more of qualifying children are your son or daughter check this box,  skip this section, and go to Part IV.)**

For relationships with children other than son or daughter, the following additional information and documentation should be available:

- |  |  |  |  |
|--|--|--|--|
|  | <b>Child 1</b>   | <b>Child 2</b>   | <b>Child 3</b>   |
| 1. If the biological parent is NOT living with the child where is the parent:  | Mother: _____  | _____  | _____  |
|  | Father: _____  | _____  | _____  |
| 2. Adopted Children:   | <b>Child 1</b>   | <b>Child 2</b>   | <b>Child 3</b>   |
| a. Is the adoption final or pending----->  | _____  | _____  | _____  |
| b. If the adoption is pending, do you have a letter from an authorized adoption agency?----->  | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3. Foster children:  |  |  |  |
| a. Do you have a letter from the authorized placement agency or applicable court document?->   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4. <b>Brother</b> , sister, niece, nephew,, grandchild, great-grandchild:  |  |  |  |
| a. Can you provide a birth certificate & marriage certificate verifying the relationship to child:----->   | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5. Stepchildren or descendent of them, step-grandchildren, great-grandchildren - Can you provide a birth certificate & marriage certificate verifying your relationship to the child?: | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |

## Part IV - Age Qualifying Child



**CAUTION: (If two or more of your qualifying children are under age 18, check this box , skip this section, and go to Part V)**

For children over 18 who are students or permanently and totally disabled, the following additional information and documentation should be available:

- |   |  |  |  |
|---|--|--|--|
|   | <b>Child 1</b>   | <b>Child 2</b>   | <b>Child 3</b>   |
| 1. Children who are students ----->   | <input type="checkbox"/> Not a Student                   | <input type="checkbox"/> Not a Student                   | <input type="checkbox"/> Not a Student                   |
| a.  |  |  |  |
| b. What school does the child attend? -----   | Child 1: _____   |  |  |
|   | Child 2: _____   |  |  |
|   | Child 3: _____   |  |  |
| Can you provide documentation showing that the child was a full-time student for at least 5 months? The school records need to show the dates of attendance. The months don't have to be consecutive -----> | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2. Children with permanent and total disability----->   | <input type="checkbox"/> Not Disabled                    | <input type="checkbox"/> Not Disabled                    | <input type="checkbox"/> Not Disabled                    |
| a. What type of disability does the child have?   | Child 1: _____   |  |  |
|   | Child 2: _____   |  |  |
|   | Child 3: _____   |  |  |

## Part IV - Age Qualifying Child (Cont)

- b. Does the child receive SSI or other disability payments?----->     Yes  No       yes  No       Yes  No
- d. Do you have a letter from the child's doctor, other healthcare provider, or any social service program or agency verifying that the child is permanently and totally disabled? ----->     Yes  No       Yes  No       Yes  No

## Part V – Residency

Put a check in the box of any of the following documentation to prove that your child lived with you for more than half of the year. More than one type of documentation may be required by the IRS:

### Child 1

- School records  
 Medical records  
 Daycare records  
     Daycare Provider \_\_\_\_\_  
 Social service records  
 Letter\*

### Child 2

- School records  
 Medical records  
 Daycare records  
     Daycare Provider \_\_\_\_\_  
 Social service records  
 Letter\*

### Child 3

- School records  
 Medical records  
 Daycare records  
     Daycare Provider \_\_\_\_\_  
 Social Service records  
 Letter\*

\*The letter must be on official letterhead from one of the following: school, medical provider, social service agency, place of worship, or other acceptable entity. The letter must include the name of the child, name of the child's parent or guardian, child's address, and dates during the year child lived with taxpayer.

## Part VI - Adjusted Gross Income – Qualifying Child

A taxpayer, other than the parents of a qualifying child, can claim the child, but only if the adjusted gross income (AGI) of the taxpayer is higher than the AGI of any parent of the child. If you are not a parent of the qualifying child, is your AGI higher than any parent of the child?

Child 1 Yes  No

Not applicable

Child 2 Yes  No

Not applicable

Child 3 Yes  No

Not applicable

## Part VII – Signatures (Required)

Your Signature	Date	Spouses Signature. If joint return, BOTH must sign.	Date
Paid Preparer's Signature	Date		



## General Engagement Letter for Individual Tax Return Preparation

This letter is to inform you, the taxpayer, of the services we will provide you, and the responsibilities you have for preparation of your tax return.

### Tax Return Preparation

- We will prepare your federal and state tax returns based on information you provide. Services for preparation of your return do not include auditing or verification of information provided by you.
- This engagement does not include any audit or examination of your books or records. In the event your return is audited, you will be responsible for verifying the items reported.
- The tax return preparation fee does not include bookkeeping.
- Fees charged for tax return preparation do not include audit representation or preparing materials to respond to correspondence from taxing authorities.
- Preparation fees do cover limited assistance and consultation during the year.
- The engagement to prepare your tax returns terminates upon delivery of your completed returns and original documents to you. Please store your supporting documents and copies of your tax returns in a secure place for at least seven years.
- You give us permission to file an extension for you if the return will not be ready for the filing deadline

### Taxpayer Responsibilities

- You agree to provide us all income and deductible expense information. If you receive additional information after we begin working on your return, you will contact us immediately to ensure your completed tax returns contain all relevant information.
- You affirm that all expenses or other deduction amounts are accurate and that you have all required supporting written records. In some cases, we will ask to review your documentation.
- You must be able to provide written records of all items included on your return if audited by either the IRS or state tax authority. We can provide guidance concerning what evidence is acceptable.
- You must review the return carefully before signing to make sure the information is correct.
- Fees must be paid before your tax return is delivered to you or filed for you. If you terminate this engagement before completion, you agree to pay a fee for work completed. A retainer is required for preparation of late returns.
- We can withdraw as your preparer, without penalty or cost, if you are deemed to be non-compliant to the above.

### Signatures

By signing below, you acknowledge that you have read, understand, and accept your obligations and responsibilities and that you understand our responsibilities in preparing your tax returns as explained above. You acknowledge that you have read and signed both the Consent to Use and the Consent to Disclose forms and they are in effect until you notify us in writing or you are no longer our client. For a joint return, both taxpayers must sign.

- You should keep a copy of your tax return and any related tax documents. You may be assessed a fee if you request a copy in the future.

---

*Taxpayer*

*Spouse*

*Date*

Privacy Policy. The nature of our work requires us to collect certain nonpublic personal information about you from various sources. We collect financial and personal information from applications, work-sheets, reporting statements, and other forms, as well as interviews and conversations with our clients and affiliates. We may also review banking and credit card information about our clients in the performance of receipt of payment. Under our policy, all information we obtain about you will be provided by you or obtained with your permission.

Our firm has procedures and policies in place to protect your confidential information. We restrict access to your confidential information to those within our firm who need to know in order to provide you with services. We will not disclose your personal information to any third party without your express permission, except where required by law. We maintain physical, electronic, and procedural safeguards in compliance with federal regulations that protect your personal information from unauthorized access. Please contact us with any questions regarding our privacy policy.





## Tax Credits Questionnaire

Tie Breaker Rules:

**Only one person can use the same qualifying child.** If a child is the qualifying child of more than one person, only one person can claim the child as a qualifying child for any of the following tax benefits:

- EITC
- Dependency Exemption for the Child,
- Child tax credit,
- Head of household filing status,
- Credit for child and dependent care expenses, and
- Exclusion for dependent care benefits.

**Yes**      **No**

**We have reviewed and understand the tie breaker rules.**

    

1. Are you providing us with all the income and expense information that you have?

    

2. Was your main home (and/or your spouse's if married) in the US for more than half the year?

    

3. Were you or your spouse a non-resident alien for any part of the year?

    

4. Could you or your spouse be a qualifying child of another person for the year?

    

5. Are you or your spouse eligible to be claimed as a dependent on anyone else's return?

    

**If something doesn't apply to you mark the N/A box**

6. If you qualify for Head of Household, or any of the following credits: Earned Income Credit, Child Tax Credit, Additional Child Tax Credit, or American Opportunity Tax Credit, **can you provide documentation (Child's Birth Certificates, 1098-T's, proof of address, etc.), if needed by IRS, to verify that you are entitled to Head of Household status and/or to claim the dependents?**

**Yes**      **No**      **N/A**

          

7. Do you understand that you may not claim the EIC if you haven't lived with the child for over half the year, even if you supported the child? (child support)

          

8. Were any credits disallowed or reduced in a prior year?

          

9. Is there a Form 8332 Release of Exemption by Custodial Parent?

          

9a. If yes, has the Form 8332 release been canceled?      Yes       No

**Please below sign when you have checked every line.**

**Taxpayer Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Spouse's Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_



Now can include corrected returns!!

# What if the IRS makes a mistake? Or your Bank, City or Employer does? What if you just simply forget a 1099 or w2?

Even if you or someone else makes a mistake that causes you to get a letter or notice from the IRS, State, or City - you're covered with our IRS Protection Guarantee!!\*

## Sleep At Night Protection!!

Any written response needed by you for the tax year is covered -- *even if you're not audited*. We'll respond for you to the **IRS, the State, and/or the City** for the covered tax year that we prepared your return. We will write the necessary letters and prepare them for your signature - ready to mail - including addressed envelopes. Any corrected return preparation can be covered now too! **You don't have to deal with the IRS!**

Also, if your return has already been completed for the year, but you receive or forget about *another* tax statement (1099, w2, etc), any amended return that needs done can be covered too!

*Our clients call this our "Sleep at Night" guarantee program. And, best of all, you can get the IRS Protection Guarantee Program for as little as 7 cents a day!!!\*\**

### The IRS Protection Guarantee Program Costs:

Type of Client		IRS Protection**
Personal	A client that has W2's, interest or dividends, pensions, Social Security income, etc.	Letters Only: _____ \$ 45.00
		Letters & Any Corrected
		Tax Forms Needed: _____ \$ 75.00
Small Bus. Or Rentals	A client who, in addition to any W2's has a small business with 1 Sch C, 4 rentals or fewer with Sch E, Royalty Income, etc.	Letters Only: _____ \$ 80.00
		Letters & Any Corrected
		Tax Forms Needed: _____ \$ 140.00
Others	Ask Your Preparer For More Info	

\*Of course, if anything we do causes a letter or needs a response, you're always covered.

\*\*The cost of the IRS Protection Guarantee Program is paid one-time annually and covers tax work done for the current tax year. These charges are in addition to your regular tax preparation fees & charges and are paid at the time of your tax preparation.

**Ask your tax preparer to include the IRS Protection Guarantee Program on your return this year so you can "Sleep at Night" too!!**